



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>A23L 1/22, A23F 3/40</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 96/11589</b> <b>(43) International Publication Date:</b> 25 April 1996 (25.04.96)
<b>(21) International Application Number:</b> PCT/IB94/00319 <b>(22) International Filing Date:</b> 17 October 1994 (17.10.94)  <b>(71) Applicant (for all designated States except US):</b> FIRMENICH S.A. [CH/CH]; 1, route des Jeunes, P.O. Box 239, CH-1211 Geneva 8 (CH).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> BLAKE, Anthony [GB/CH]; 17, chemin des Palettes, CH-1212 Grand-Lancy (CH). ATTWOOL, Philip [GB/CH]; 12, rue des Jardins, CH-1205 Geneva (CH).  <b>(74) Agent:</b> SALVADORI, Giuseppe; Firmenich S.A., P.O. Box 239, CH-1211 Geneva 8 (CH).		<b>(81) Designated States:</b> BR, CA, CN, JP, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> PARTICULATE FLAVOUR COMPOSITIONS AND PROCESS TO PREPARE SAME  <b>(57) Abstract</b>  The process provides particulate flavour compositions having a flavour oil fixed in a particulate polyol material. It consists in mixing a mono- or disaccharide, a polysaccharide and water with a minor but flavour effective amount of a flavour oil such that the resulting particular flavour compositions possess a Tg below room temperature, and extruding the resulting homogeneous substrate at a temperature to form a melt which on cooling solidifies as a hard rubbery amorphous material having said flavour oil entrapped therein.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

**Particulate flavour compositions and process  
to prepare same**

**5    Technical field and prior art**

It is well known in the food industry that the addition of flavouring ingredients contribute to a major extent to the palatability of consumable edible materials ; consequently, it is paramount to ensure the production of food products which are of consistent flavour quality and are thus attractive to consumers. This can be achieved by ensuring proper flavour release. In effect, taste and aroma are greatly influenced by volatile components present in such products. However, because of the volatility of these compounds, it is not easy to ensure that the predetermined critical amounts of each flavour components be present in the food and products as they reach the consumer. Losses of volatile components might occur during storage prior to incorporation into the food product, during mixing of the flavour component with the other food ingredients, during food processing, cooking, baking, during transportation and storage and finally during the preparation of the food product by the consumer himself.

These losses of volatile components from the food products may produce undesirable variations in the taste and aroma of the products as perceived by the consumer. On the other hand, losses of volatile components might occur through the conversion of certain flavour materials into unwanted less desirable or tasteless chemicals by their interaction with reagents present in the environment. Oxygen is an example of this type of reagent as it promotes the conversion of several labile flavour materials of current and critical utilization in the industry.

It is not surprising therefore to observe that, in order to reduce or eliminate the afore-mentioned problems associated with volatile and labile flavour components, various attempts have been made to encapsulate such components in certain carbohydrate matrices so as to reduce the volatility or lability of the components. This results in the preparation of stable free flowing powders containing the flavour compositions for later flavour release when incorporated into the food products or when the food product is eventually consumed.

Typical examples of flavour fixation on carbohydrate matrices are provided in US Pat. Nos. 3,314,803 ; 3,554,768 and 3,736,149. These patents are

mainly concerned with the problem associated with the stability of acetaldehyde in different flavour systems. For instance, US Pat. No. 3,554,768 suggests the use of lactose, lactose-maltose, larch-gum, tapioca dextrin and gum Arabic as matrices for encapsulation of acetaldehyde by the method of spray-drying.

US Pat. No. 3,736,149 discloses flavouring compositions which comprise a flavouring agent including acetaldehyde fixed in a matrix material containing lactose, a hydrocolloid gum and a starch hydrolysate.

US Pat. No. 2,809,895 describes a process for encapsulation of an essential oil, such as lemon, lime or grapefruit oils, in a matrix comprising corn syrup, antioxidant and dispersing agent. The essential oil, antioxidant and dispersing agent are added to the corn syrup, the resultant mixture is heated to 85-125°C and agitated or extruded to form an emulsion in pellet form, and the resulting particles are washed with a solvent and finally dried.

US Pat. No. 3,704,137 describes an essential oil composition formed by mixing oil with an antioxidant, separately mixing water, sucrose and hydrolyzed cereal solids with DE below 20, emulsifying the two mixtures together, extruding the resulting mixture in the form of rods into a solvent, removing the excess solvent and finally, adding an anti-caking agent.

US Pat. Nos. 4,610,890 and 4,707,367 describe a process for preparing a solid essential oil composition having a high content of the essential oil, which composition is prepared by forming an aqueous solution containing a sugar, a starch hydrolysate and an emulsifier. The essential oil is blended with the aqueous solution in a closed vessel under controlled pressure to form a homogeneous melt, which is then extruded into a relatively cold solvent, dried and combined with an anti-caking agent.

The above-mentioned patents are merely illustrative of the considerable volume of patent literature related to the fixation of flavour ingredients in various matrices.

In essence, all of the above patents disclose the encapsulation of flavour materials in glass like polymeric materials. The understanding of the glassy state and its importance in food products has been considerably extended in recent years. Several methods of creating glass like states have been reported including the shock cooling of a polymeric melt to create a vitreous solidified matrix and more recently the use of extrusion cooking as a means of melting and intermixing a polymeric base material with the flavourant or other material to be encapsulated and then extrusion of this

viscous material into a cooling system thus entrapping the flavourant within the matrix.

US Pat. No. 5,009,900 describes such a process, a key embodiment of which is the requirement that the resulting encapsulated flavour has a glass transition temperature (T<sub>g</sub>) significantly above the temperature at which it is stored and used. The critical temperature was recognized as being of at least 40°C.

The concept of glass transition temperature is well described in the literature.

It represents the transition temperature from a rubbery phase to a glass ; such a transition is characterised by a rapid increase in viscosity over several orders of magnitude, over a rather small temperature range. It is recognized by many experts in the field that in the glassy state, i.e. at temperatures below T<sub>g</sub>, all molecular translation is halted and it is this process which provides such effective entrapping of the flavour volatiles and prevention of other chemical events such as oxidation.

Implicit in much of the literature is the converse, namely that at temperatures above T<sub>g</sub>, the encapsulation of flavour molecules will be ineffective and hence the importance of creating polymeric encapsulating materials with T<sub>g</sub> values above ambient temperature.

### Description of the invention

We have discovered that, contrary to this prior assumption, it is possible to create materials which have glass transition temperatures below ambient temperature and which nevertheless are perfectly effective at entrapping and stabilising flavouring systems over long periods of time.

Furthermore, the resulting particulate flavour compositions are less hygroscopic than the materials currently available.

The present invention provides a process for the preparation of particulate flavour compositions comprising a flavour oil fixed in a particulate polyol material, which process comprises :

- a. mixing a mono- or disaccharide, a polysaccharide and water with a minor but flavour effective amount of a flavour oil to form a homogeneous substrate such that the resulting particulate flavour compositions possess a T<sub>g</sub> below room temperature ; and

- b. extruding said homogeneous substrate at a temperature sufficient to form a melt which on cooling solidifies as a hard rubbery and amorphous material having said flavour oil entrapped therein.

5

### **Modes of carrying out the invention**

Preferred mono- or disaccharides used in the process of the invention include, for example, sucrose, glucose, lactose, fructose, maltose  
10 and glucopyranosylmannitol or glucopyranosylsorbitol.

Preferred polysaccharides capable to provide, when treated according to the process of the invention, particulate flavour compositions having a glass transition temperature below room temperature, include maltodextrins having a DE of less than 18, preferably of less than 10, and  
15 more preferably of 1 to 6.

Maltodextrins are essentially polysaccharides of various commercial origins. One specific maltodextrin which has been found to give good results in the process of the invention is Paselli SA 2 (origin : Avebe, The Netherlands)

20 We have found that the particulate flavour compositions resulting from the process of the invention not only are perfectly effective at entrapping and stabilising flavouring volatiles over a prolonged period of time, but also they are less hygroscopic than materials currently available.

The resulting blend of mono- or disaccharides with maltodextrins,  
25 blend to which there is added the flavour oil before extrusion, possesses high viscosities. For this reason it is recommended to use a continuous cooker extruder ; this would achieve a better heating, mixing and pumping of the encapsulating system. Preferred is a twin screw extruder, wherein the screw configuration has been selected to provide heating and mixing zones which  
30 create a suitable hot polymeric melt.

The proportions of mono- or disaccharides relative to maltodextrin are typically of equal parts. They can vary however from 1 part of maltodextrine with 0.2-4 parts of mono- or disaccharide.

35 The flavour oil to be encapsulated can either be admixed into the feedstock of the extruder or it can be injected directly into the molten mass within the barrels of the extruder followed by a subsequent zone of intense mixing action. Within the mixing zone the oil is thoroughly dispersed within the matrix and, if desired, appropriate emulsifiers can also be incorporated into the matrix to aid its dispersal. The remaining sections of

the extruder convey the hot plastic material to the suitable die face where it is extruded into desired shape and cooled.

During the cooling process the viscosity and consequent hardness of the material rises and the obtained material can be broken or cut to provide  
5 particles of the desired size.

The resulting particulate flavour composition obviates the disadvantages shown by most prior known analogous systems. In effect, food products which possess a glass transition temperature close to room temperature undergo frequent changes of their physical state, as a  
10 consequence of the fluctuation of ambient temperature around the Tg of the product. Such changes in state are frequently the cause of caking or other undesirable properties of the food.

By providing flavour composition with Tg values below the ambient temperature, or anyhow below the temperatures to which the food is  
15 stored or used, no such changes of state will take place.

It is also a well known fact that the ingress of water into the food product can significantly reduce the glass transition temperature and lower it to room temperature with consequent change in the product stability. The polymeric material, as obtained in the process of the invention, wherein the  
20 glass transition temperature is below room temperature will be subject to much less change in its properties since adsorption of water will not bring the Tg up to ambient temperature but will depress it still further.

As indicated above water is added to the intimate mixture of mono- or disaccharide and polysaccharide before extrusion. We have found that  
25 adequate proportions of water is of between 5 and 10% by weight, based on the total weight of the mixture. With this concentration of initial water content, the resulting particulate compositions will show a water content sufficient to lower their Tg to values below ambient temperature, while maintaining good flowing properties.

A further advantage of the present invention is the ability to  
30 provide flavour systems which can be effective in so called low water activity foods. It is well known that below certain well defined levels of water activity, as measured by the Aw value, the processes of microbiological spoilage are halted. The use of encapsulated systems where the Tg values lie below room  
35 temperature, as obtained by the process of the invention, allows much more flexibility in designing flavours which are effective in moderate or low moisture foods since the adsorption of water produces gradual changes in

texture rather than the drastic textural changes observed when a material passes through its glass transition.

The terms "flavour oil" as used herein are deemed to define a variety of flavour materials of both natural and synthetic origin ; they include  
5 single compounds or mixtures. The process of the invention may be employed to encapsulate volatile or labile components which may be in liquid and solid form. Specific examples of such components may be found in the current literature, e.g. in Perfume and Flavor Chemicals by S. Arctander, Montclair N.J. (USA) ; Fenaroli's Handbook of Flavor Ingredients, CRC Press  
10 or Synthetic Food Adjuncts by M.B. Jacobs, van Nostrand Co., Inc.

Natural extracts can also be encapsulated into the systems of the invention ; these include e.g. citrus fruit extracts, such as lemon, orange, lime, grapefruit or mandarine oil, or coffee, tea, cocoa, mint or vanilla.

The particulate flavour compositions of the invention may be  
15 employed as adjuvants to a wide variety of food products, including confectionery products and chewing gums. The compositions may also be added to plant leaves typically used for infusions or decoctions, especially for the aromatisation of tea leaves or tea fines.

The proportions in which the flavour oil is added into the  
20 saccharide mass prior to extrusion may vary in accordance with the nature of the food products to be flavoured and the particular organoleptic effect it is desired to achieve. The expert in the art knows by experience how to assess this parameter for obtaining a well balanced and harmonious aroma in a given food or beverage. Obviously, for economic reasons it is preferred to  
25 incorporate the highest possible proportions of flavour oil. Typically, the compositions of the invention encapsulate up to 20% (weight percent) of oil, more typically of 8-12%.

The invention is illustrated but not limited to the following examples. Temperatures are given in degrees centigrade and abbreviations  
30 have the meaning common in the art.



Example 1

A free flowing powder was prepared by blending the following ingredients in the proportions indicated

5

	<u>Ingredients</u>	<u>Parts by weight</u>
	Saccharose	42.6
	Maltodextrin <sup>1)</sup> (DE=2)	42.6
	Water	4.3
10	Orange oil <sup>2)</sup>	10.0
	Lecithin	0.5
	Total	<hr/> 100.0

15 1) Paselli SA 2 (origin : Avebe, The Netherlands)

2) Florida 1121 GT-20.5.99 (origin : Firmenich)

The powder was fed into a twin screw cooker extruder (Cleextral BC21) and heated to 150° at the central section of the extruder and 95° at the die face.

20 The extruder was equipped with a high shear mixing zone located after the high temperature section. The blend was decrystallised in this process and extruded as a plastic ribbon which rapidly hardened to give a hard snappable solid which contained entrapped therein the orange oil. The water content of the material was measured to be 7.6%.

25 Subsequent differential scanning calorimetry (DSC) showed the sample to have a glass transition temperature (T<sub>g</sub>) of 12°. Although the material was stored at a temperature which was almost 10° above the T<sub>g</sub>, it remained stable and resistant to moisture uptake and did not become sticky.

30 When exposed to air at relative humidity of 83% the material stayed hard, brittle and non-sticky after 4 days.

The proportion of orange oil encapsulated in the matrix after extrusion was measured as 8.5% (weight percent), i.e. 85% retention was achieved.

The orange oil remained entrapped in the material even after exposure to high humidity.

35

Example 2

A free flowing powder was prepared by blending the following ingredients in the proportions indicated

5

	<u>Ingredients</u>	<u>Parts by weight</u>
	Palatinit ® 1)	42.6
	Maltodextrin 2) (DE=2)	42.6
	Water	4.3
10	Orange oil	10.0
	Lecithin	0.5
	Total	<hr/> 100.0

- 15 1) Equimolecular mixture of  $\alpha,\delta$ -glucopyranosyl-1,6-mannitol and  $\alpha,\delta$ -glucopyranosyl-1,6-sorbitol (origin : Süßungsmittel GmbH, Germany)  
2) Paselli SA 2 (origin : Avebe, The Netherlands)

20 Extrusion was carried out as indicated in Example 1 with a temperature in the central zone at 160° and an exit temperature of 90°. The resulting material was hard and brittle and had a water content of 6.9% and a Tg of 18°.

Exposed to air with a humidity content of 91% for a 5 day period, the material became somewhat pliable but was nevertheless hard and was not sticky.

## CLAIMS

1. A process for the preparation of particulate flavour compositions  
5 comprising a flavour oil fixed in a particulate polyol material, which comprises :

- a. mixing a mono- or disaccharide, a polysaccharide and water with a minor but flavour effective amount of a flavour oil to form a homogeneous substrate such that the resulting particulate flavour compositions possess  
10 a Tg below room temperature ; and
- b. extruding said homogeneous substrate at a temperature sufficient to form a melt which on cooling solidifies as a hard rubbery and amorphous material having said flavour oil entrapped therein.

2. The process of claim 1, wherein the mono- or disaccharide is  
15 chosen from the group consisting of sucrose, glucose, fructose, lactose, maltose and glucopyranosylmannitol or glucopyranosylsorbitol.

3. The process of claim 1, wherein the polysaccharide is a maltodextrin having a DE below 18.

4. The process of claim 3, wherein the maltodextrin has a DE of  
20 from 1 to 6.

5. The process of claim 1 wherein the amount of water added to the mixture of mono- or disaccharide and polysaccharide is of between 5 and 10% by weight based on the total weight of the mixture.

6. A particulate flavour composition having a Tg below room-  
25 temperature prepared according to the process of claim 1.

7. A particulate flavour composition according to claim 6 comprising about 0.2-4 parts by weight of mono- or disaccharide and about 1 part by weight of maltodextrin having a DE of 1 to 6.

8. An edible consumable material containing a flavour effective  
30 amount of a particulate flavour composition according to claim 6.

9. Method for the aromatisation of tea leaves, tea powders or tea extracts, which comprises adding thereto a flavour effective amount of a composition according to claim 6.

## INTERNATIONAL SEARCH REPORT

 Internat Application No  
 PCT/IB 94/00319

 A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 6 A23L1/22 A23F3/40

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

 Minimum documentation searched (classification system followed by classification symbols)  
 IPC 6 A23L A23F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US,A,5 087 461 (H.LEVINE ET AL.) 11 February 1992	1-9
Y	see claims 1,6-14,20,21,25 see column 7, line 44 - column 8, line 50 see column 11, line 1-3 ---	1-8
X	US,A,5 009 900 (H.LEVINE ET AL.) 23 April 1991	1-9
Y	cited in the application see claims 1,6-14,20-22,24 see column 7, line 4 - column 8, line 14 see column 10, line 37-39 --- -/--	1-8

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&amp;\* document member of the same patent family

Date of the actual completion of the international search

23 June 1995

Date of mailing of the international search report

05.07.1995

Name and mailing address of the ISA

 European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax (+ 31-70) 340-3016

Authorized officer

Van Moer, A

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/IB 94/00319

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO,A,94 06308 (MCCORMICK) 31 March 1994 see claims 1,7-10,21; examples see page 5, paragraph 5 see page 7, paragraph 5 see page 12, paragraph 2 see page 16, line 21-24 see page 19, paragraph 2 see page 19, paragraph 4 ---	1-8
Y	WO,A,86 00502 (SUNKIST GROWERS) 30 January 1986 see claims see page 1, line 20 - page 2, line 10 see page 8, line 1-10 see page 11, line 12-19 see page 16, line 19-29 & US,A,4 610 890 (...) cited in the application ---	1-8
A	FR,A,2 204 190 (E.E.BECK) 17 May 1974 see page 3, line 4-6; claims 1,2 -----	1-9

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/IB 94/00319

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☒ Claims Nos.: 1-9  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
Glass transition temperature (T<sub>g</sub>) is a parameter not systematically and even not frequently mentioned in the prior art.  
Therefore the search had to be performed while assuming that similar or identical compositions would have similar or identical T<sub>g</sub>es.
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat. Application No

PCT/IB 94/00319

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-5087461	11-02-92	CA-A- 2025648	03-04-91
US-A-5009900	23-04-91	CA-A- 2025647	03-04-91
WO-A-9406308	31-03-94	CA-A- 2124010 EP-A- 0621752 FI-A- 942351 JP-T- 7502187 NO-A- 941852	31-03-94 02-11-94 20-05-94 09-03-95 18-05-94
WO-A-8600502	30-01-86	AU-A- 4635285 EP-A- 0190202 JP-T- 61502656 US-A- 4610890 US-A- 4707367	10-02-86 13-08-86 20-11-86 09-09-86 17-11-87
FR-A-2204190	17-05-74	NONE	